

# WEST VIRGINIA LEGISLATURE STATE EIGHTIETH LEGISLATURE REGULAR SESSION, 2012

# ENROLLED

COMMITTEE SUBSTITUTE

FOR

# Senate Bill No. 371

(By Senators Kessler (Mr. President) and Hall, By Request of the Executive)

[Passed March 10, 2012; in effect from passage.]



OFFICE WEST VIRGINIA SECRETARY OF STATE

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AN ACT to amend the Code of West Virginia, 1931, as amended, by adding thereto a new section, designated §18-5B-12, relating to allowing flexibility within county school systems; authorizing the State Board of Education to select a county school system to serve as a school system collaborative innovation zone; giving McDowell County first opportunity to submit application; specifying effect of change in school system approval status; requiring the State Board of Education to promulgate rules and emergency rules; providing the procedure and criteria for application and selection as a school system collaborative innovation zone; requiring development of school system collaborative innovation zone plan; setting forth potential innovations; requiring public town hall meetings; requiring meeting to review input from town hall meetings and develop plan; requiring meeting to educate employees about the plan and to allow examination and discussion of plan; requiring vote to determine level of school employee support; authorizing a designated school system to submit requests for exceptions from county and state board rules, policies and interpretations; permitting exceptions from statutes subject to legislative approval; allowing revision and resubmission of approved plan; setting forth duration of plan; requiring annual performance reviews and reports; permitting the posting of certain teaching vacancies; and including, for a school system collaborative innovation zone and to the extent applicable, any land and infrastructure needs in the land use master plan, authorizing an area health association to work with county board in addressing health, wellness and fitness needs and authorizing an area institution of higher education to work with the county board to address certain challenges.

### Be it enacted by the Legislature of West Virginia:

That the Code of West Virginia, 1931, as amended, be amended by adding thereto a new section, designated §18-5B-12, to read as follows:

### ARTICLE 5B. SCHOOL INNOVATION ZONES ACT.

- §18-5B-12. School system collaborative innovation zone; requirements to qualify; application for designation; required plans for innovation zones; plan approval; waiver of statutes, policies, rules or interpretations; progress reviews and annual reports; teacher vacancies, job postings and approval.
  - 1 (a) The Legislature makes the following findings and 2 expressions of legislative intent:
  - 3 (1) The Legislature created a performance-based accredi-
  - 4 tation system in 1988 and has amended these provisions
  - 5 several times, significantly in 1998 to set forth a process for
  - 6 improving education consisting of four elements: (i) High
  - 7 quality education standards; (ii) an assessment of the
  - 8 performance and progress of schools and school systems in
  - 9 achieving these standards with a primary focus on student
  - 10 learning; (iii) holding schools and school systems account-
  - ${\tt 11} \quad {\tt able} \, {\tt for} \, {\tt performance} \, {\tt and} \, {\tt progress} \, {\tt to} \, {\tt provide} \, {\tt assurances} \, {\tt that}$
  - 12 a thorough and efficient education is being provided; and (iv)
  - 13 a process for targeting resources strategically to improve

14 teaching and learning. These provisions include a process for 15 the state board to declare a state of emergency and intervene 16 in the operation of a school system when its educational 17 program does not meet the standards and it fails to imple-18 ment an improvement plan or meet the plan's deadlines and improve with a reasonable time. Since the inception of these 20 provisions, the state board has declared a state of emergency 21 in nine county school systems and intervened, including 22 delegating decision-making authority to the state superin-23 tendent or his or her designee for system operations. Of these 24 nine school systems, three improved sufficiently over a 25 period of time for the state of emergency to be rescinded, the 26 longest of which took ten years and six months. Of the six 27 systems remaining under state board intervention, although 28 most are fairly recent, one school system has been under 29 state intervention for more than ten years and its improve-30 ment is progressing slowly;

31 (2) School systems do not exist in a vacuum and external 32 circumstances and events can have a significant impact on 33 them and the students they serve, as well as on the system's 34 capacity to deliver the thorough and efficient education to 35 which those students are entitled. For example, the 36 McDowell County school system which in the 1950's at its 37 height of employment in coal production had a total popula-38 tion of about 100,000 residents, faced much different chal-39 lenges than it does today with that county's total population 40 now at 22,113 based on the 2010 census. This school system 41 has lost nearly 70% of its enrollment in the past 30 years, 42 declining from 11,715 students in 1981-82 to 3,535 in 2011-43 12. Along with the steep decline in the historical bedrock of 44 employment in the county in the coal industry and the large 45 number of middle class workers and services it supported, 46 including housing, utilities and medical care, the county's 47 rugged mountainous topography contributes to its vulnera-48 bility to natural disasters such as the devastating floods in 49 2001 and 2002 that swept away many homes and much of the 50 infrastructure along the creek beds throughout the county. 51 This topography also significantly limits the amount of land 52 suitable for development and transportation networks, and 53 makes planning for future economic development alterna-54 tives difficult. The social and economic byproducts of these 55 external circumstances and events leave a school system 56 with many atypical challenges for addressing the needs of its 57 students and making the improvements in performance and 58 progress needed to assure a thorough and efficient education;

59 (3) Among the findings, intent and purposes of this article are that: (i) Allowing exceptions from certain statutes, policies, rules and interpretations through the creation of innovation zones will enable greater local control over the 63 important educational factors that impact student achievement and the delivery of educational services to improve student learning; and (ii) Innovation zones will provide 66 greater flexibility and local control to meet the needs of a diverse population of students. In addition, among the 68 findings of the Local Solution Dropout Prevention and Recovery Innovation Zone Act as set forth in section eleven of this article are findings that when educators, parents, 71 elected officials, business leaders, faith-based leaders, human service personnel, judicial personnel and civic leaders collectively work together they are often able to find innova-74 tive solutions to address school and community problems. 75 Since the creation of this article, forty-five innovation zone projects have been approved by the state board, nine of which were Dropout Prevention and Recovery Innovation 77 78 Zone projects. Twenty-seven policy waivers and five statu-79 tory waivers have been granted to enable implementation of 80 these projects. In one county, an innovation zone project was expanded to all of the remaining schools in the county when 81 82 the schools used the Local School Improvement Council waiver process to request and receive a statutory waiver to 84 implement a comprehensive new teacher induction process 85 county-wide. Collectively, these projects illustrate how local schools, and in some cases school systems, have increased 87 their capacity by using the innovation zone process to 88 collaboratively plan and implement a variety of changes to increase student engagement, develop more flexible schedules, enhance student and teacher ownership of the learning 91 process and increase student achievement;

- 92 (4) Choosing one county school system under a declared 93 state of emergency due to nonapproval status to designate as 94 an innovation zone would allow the testing of innovations 95 that could be replicated in other school systems facing 96 similar circumstances across the state, nation and world;
- 97 (5) Numerous studies have shown an association between 98 a young person's health status and his or her ability to 99 succeed in educational settings;
- 100 (6) McDowell County is unique and should be given the 101 first opportunity to use innovative solutions to improve its 102 education system when the totality of the circumstances set 103 forth in this subsection are considered. Other facts specifi-104 cally applicable to McDowell County include the following:
- 105 (A) The McDowell County school system has been under 106 a continuous declared state of emergency by the state board 107 due to nonapproval status longer than any other county that 108 is currently under a declared state of emergency;
- 109 (B) McDowell County school system is engaged in a 110 public-private partnership to begin addressing challenges 111 both within the school system and in the community at large; 112 and
- 113 (C) McDowell County has a chronic shortage of good 114 roads, public transportation, housing, Internet bandwidth, 115 recreation centers and health clinics;
- 116 (7) This section is intended as an additional tool for an eligible school system in collaboration with community and business partners to plan and implement new approaches to improve the performance and progress of the students, schools and system to achieve full approval at the earliest possible date. It is further the intent of the Legislature that the process for an eligible school system to apply for exceptions under this section should allow multiple opportunities to apply for additional exceptions as the system moves forward with its partners toward fulfillment of its improvement goals; and

- 127 (8) In accordance with the intent of this section as an 128 additional tool for planning and implementing new ap-129 proaches to improve the performance and progress of the 130 students, schools and school system to achieve full approval 131 at the earliest possible date, the state board shall rescind the 132 state of emergency and nonapproval status of a school system 133 designated as a school system collaborative innovation zone 134 as soon as the requisite conditions are met as provided in 135 section five, article two-e of this chapter, notwithstanding 136 the designation. If a school system that has been designated 137 as a school system collaborative innovation zone is subse-138 quently issued a school system approval status that would 139 make it ineligible for the designation, the designation shall 140 remain in effect as provided in this section.
- 141 (b) The state board is authorized to choose one county 142 school system currently under a declared state of emergency 143 by the state board due to nonapproval status to participate 144 a program to test the effectiveness of allowing such county 145 school systems to be considered school system collaborative 146 innovation zones. Due to the reasons set forth in subsection 147 (a) of this section, the McDowell County Board of Education 148 shall be provided the first opportunity to submit a school 149 system collaborative innovation zone application under this 150 article. If the McDowell County Board of Education has not 151 submitted an application by April 1, 2013 or less than fifty 152 percent cast ballots in an election to approve a school system 153 collaborative innovation zone plan, the state board may 154 accept applications from other county boards under a 155 declared state of emergency by the state board due to 156 nonapproval status.
- 157 (c) The Legislature finds that an emergency exists and, 158 therefore, no later than April 16, 2012, the state board shall 159 promulgate an emergency rule in accordance with section 160 ten, article three-b, chapter twenty-nine-a of this code, to 161 implement the provisions of this article. The state board also 162 shall promulgate a legislative rule, in accordance with article 163 three-b, chapter twenty-nine-a of this code, to implement 164 this section. Both rules shall include, but not be limited to, 165 the following provisions:

- 166 (1) The manner, time and process for the submission of a 167 school system collaborative innovation zone application;
- 168 (2) The contents of the application, which must include 169 a general description of the innovations the county school 170 district seeks to institute;
- 171 (3) Factors to be considered by the state board when 172 evaluating an application, which shall include, but are not 173 limited to, the following factors:
- 174 (A) Support from teachers, staff, parents, students, the 175 county board of education, the local school improvement 176 council and school business partners; and
- 177 (B) The potential for an applicant to be successful in 178 raising student achievement as a school system collaborative 179 innovation zone; and
- 180 (4) Standards for the state board to review applications 181 for designation as a school system collaborative innovation 182 zone and to make determinations on the designation of a 183 school system collaborative innovation zone.
- (d) The state board shall review school system collaborative innovation zone applications in accordance with the standards adopted by the board and shall determine whether to designate the applicant as a school system collaborative innovation zone. The state board shall notify an applicant of the board's determination within thirty days of receipt of the application.
- 191 (e) Prior to designation by the state board as a school 192 system collaborative innovation zone, county school systems 193 submitting applications shall develop school system collabo-194 rative innovation zone plans. The school system collabora-195 tive innovation zone plan may include, but is not limited to, 196 the following proposals:
- 197 (1) Allowing increased collaborative site-based deci-198 sion-making powers over the budgeting for and spending on 199 programs and services for students;

- 200 (2) Allowing increased collaborative site-based deci-201 sion-making powers over teacher recruitment;
- 202 (3) Allowing a collaborative process which ensures 203 accountability and transparency to all stakeholders;
- 204 (4) Allowing a collaborative process which provides 205 input and demonstrative buy-in from education personnel 206 regarding appropriate professional development, supports, 207 resources and working conditions.
- 208 (5) Allowing a collaborative site-based process to reduce 209 certain requirements to allow staff to meet the school's 210 mission;
- 211 (6) Allowing, through a collaborative site-based process, 212 flexibility to the alternative teacher certification provided in 213 section one-a, article three, chapter eighteen-a of this code;
- 214 (7) Utilizing virtual school courses aligned with the 215 Southern Regional Education Board's Standards for Quality 216 Online Courses; and
- 217 (8) Other innovation zone plans approved under the 218 provisions of this article and being implemented in other 219 schools and school systems throughout the state.
- 220 (f) Prior to submitting a school system collaborative 221 innovation zone plan to the state board:
- 222 (1) The school system in collaboration with its public-223 private partnership shall conduct public town hall meetings 224 in at least two schools in the county for the purpose of 225 soliciting input from those in attendance on the challenges 226 affecting the quality of education in the county and the 227 potential strategies and priorities for addressing them. The 228 two meetings shall occur within ten days of each other;
- 229 (2) Within fifteen days after the town hall meetings, the 230 county superintendent shall hold a meeting for the purpose 231 of reviewing the input gathered at the public town hall 232 meetings and developing the school system collaborative

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- 233 innovation zone plan. The meeting shall include the princi-234 pals employed within the county, the chairs of the faculty senates of each school in the county, employee organization 236 representatives, school service person from each work-site, 237 parents and other stakeholders;
- 238 (3) Within fifteen days after the meeting to develop the 239 school system collaborative innovation zone plan, the county 240 superintendent shall hold a meeting of all regularly em-241 ployed school employees for the purpose of educating those 242 employees about the plan and for the purpose of providing 243 the employees an opportunity to examine and discuss the 244 school system collaborative innovation zone plan; and
- 245 (4) At the meeting required by subdivision (3) of this 246 subsection, the county superintendent shall direct that a vote 247 of all regularly employed school employees in the county be 248 conducted to determine the level of school employee support 249 for the school system collaborative innovation zone plan. The vote shall be completed within fifteen days after the meeting 250 251 required by subdivision (3) of this subsection. The vote shall 252 be by secret ballot administered by the panels created in 253 subsection (c) section six of this article for each school and shall be administered in accordance with that subsection. 254 For the vote to be valid, ballots must be cast by at least fifty 255 256 percent of all regularly employed school employees in the 257 county. The plan may not be submitted to the state board and the state board may not designate the school system as 258 259 a school system collaborative innovation zone unless at least 260 two-thirds of the employees voting vote to submit the plan.
- (g) Approval of a school system collaborative innovation 262 zone plan pursuant to this section is at the sole discretion of the state board. Any approval requirement not contained within this section does not apply.
- (h) The plan is intended to serve as the basis for the innovation zone activities of the school system and to provide a vision for the school improvement goals it will 268 work to accomplish in collaboration with its school and 269 community partners. The plan is not intended as a limit on

the normal school improvement activities that all school systems are expected to pursue, nor is the plan intended as a restriction on the ability of the school system or its schools to pursue other innovative strategies in accordance with the other provisions of this article, specifically the designation as a Local Solution Dropout Prevention and Recovery Innovation Zone in accordance with section eleven of this article.

- 278 (i) The designation as a school system collaborative innovation zone authorizes the school system to submit 279 requests as provided in subsection (i) of this section to the 280 state board for exceptions to statutes, policies, rules and 281 282 interpretations that are required to permit implementation by the school system of the innovative strategies contem-283 284 plated in its strategic plan for school improvement. The 285 designation shall be for a period of five years, during which 286 the school system may submit multiple individual requests 287 for exceptions to permit implementation of different strate-288 gies contemplated in the plan as the strategies are developed. 289 Each request for an exception shall be submitted and may be approved by the state board in accordance with subsection 290 291 (i) of this subsection.
- 292 (j)(1) A school system designated as a school system 293 collaborative innovation zone may request an exception to a 294 statute, policy, rule or interpretation by submitting an 295 application to the state board that contains the following 296 information:
- 297 (A) A description of the program or initiative the school 298 system intends to implement as an innovative strategy to 299 improve student achievement if the request is approved by 300 the state board;
- 301 (B) An explanation of the specific exception to a statute, 302 policies, rule or interpretation, in the singular or plural, that 303 the school system has identified as prohibiting or constrain-304 ing the implementation of the program or initiative and why 305 the exception is necessary;

- (C) An explanation of how the program or initiative
   furthers the activities contemplated in the strategic plan for
   improvement;
- 309 (D) A certification by the county superintendent that the 310 request for an exception was approved by a vote of the 311 eligible employees in accordance with the process for voting 312 as set forth in section six of this article, except that notwith-313 standing subsection (d) of said section six, at least two-thirds 314 of the eligible employees voting must vote to request the 315 exception for it to be approved for submission to the state 316 board: *Provided*, That for the vote to be valid, ballots must 317 be cast by at least fifty percent of the eligible employees; and
- 318 (E) Any other information the state board requires as set 319 forth in its rule pursuant to subsection (c) of this section.
- 320 (2) The state board shall review request in accordance 321 with the standards adopted by the board in its rule and shall 322 determine whether to approve or disapprove the request. The 323 approval or disapproval of a request is at the sole discretion 324 of the state board. Any approval requirement not contained 325 within this section does not apply.
- (3) Except as provided in subdivision (5) of this subsection, the state board shall approve or disapprove the request
  within thirty days of receipt, subject to the following:
- 329 (A) No exceptions to state board policies, rules or 330 interpretations are granted unless the state board approves 331 the request at least conditionally pursuant to subdivisions (2) 332 and (5) of this subsection; and
- 333 (B) If the request is disapproved, the state board shall 334 communicate its reasons for the disapproval to the school 335 system and shall make recommendations for improving the 336 request. The school system may amend and resubmit the 337 request.
- 338 (4) Upon approval of the request by the state board, all 339 of the exceptions to state board policies, rules and interpre-340 tations the were requested are granted; and

- (5) If a request, or a part thereof, may not be implemented unless an exception to a statute is granted by an Act of the Legislature, the state board may approve the request, or the part thereof, only upon the condition that the Legislature acts to grant the exception. If the state board approves a request on that condition, the state board shall submit the request for an exception to a statute, along with supporting reasons, to the Legislative Oversight Commission of Education Accountability. The commission shall review the request and make a recommendation to the Legislature regarding the exception requested.
- 352 (k) Upon approval of the exception by the state board, all 353 exceptions to county and state board rules, policies and 354 interpretations listed in the plan are granted.
- 355 (l) A county board designated as an school system 356 collaborative innovation zone pursuant to this section that 357 has an approved innovation zone plan may revise its plan 358 and resubmit its plan to the state board for approval after 359 conducting the vote pursuant to subdivision (4), subsection 360 (f) of this section and complying with all other applicable 361 plan requirements set forth in this section except for holding 362 the public town hall meetings required by subdivision (1), 363 subsection (f) of this section.
- (m) The designation of a county school system as a school system collaborative innovation zone shall be for a period of five years. The state board, upon request of the school system, may extend the designation for an additional two years if the school system has outstanding items in its school system collaborative innovation zone plan that it still wants to pursue and only for the purpose of pursuing those outstanding items. The expiration of the designation does not negate any exceptions to statutes, policies, rules or interpretations granted to the school system, unless and until specifically revoked, repealed or modified by the state board or by the Legislature, as applicable.

- 376 (n) The state board or its designated committee shall 377 perform annual performance reviews and provide annual 378 reports in accordance with section seven of this article.
- (o) A county school system whose plan has been approved
  may make a job posting for a teacher vacancy in accordance
  with the procedures and approval provided by section eight
  of this article.
- 383 (p) For any county that is designated as a school system 384 collaborative innovation zone under the provisions of this 385 section and to the extent the following provisions are 386 applicable:
- 387 (1) The county commission of the designated county shall
  388 collaborate with the Office of Coalfield Community Develop389 ment in including any land and infrastructure needs in the
  390 land use master plan provided for in section nine, article
  391 two-a, chapter five-b of this code. These needs may include,
  392 but are not limited to, advancement of public education,
  393 economic development, highway development, recreational
  394 amenities and housing development;
- 395 (2) An area health organization, such as Tug River Health
  396 Association, Inc. is authorized to work with the county board
  397 to address the health, wellness and fitness needs of students,
  398 parents, school personnel and all others in the county. Tug
  399 River Health Association may partner with the Robert C.
  400 Byrd Center for Rural Health and the Marshall University
  401 Medical School in addressing these needs. In addressing the
  402 health, wellness and fitness needs, the following should be
  403 considered:
- 404 (A) New evaluations of school-aged children are needed 405 to reassess their health status and direct further interven-406 tions;
- 407 (B) Prior to developing new assessment tools and initiat-408 ing programs, a comprehensive inventory of prior assessment 409 tools and programs is needed to determine their strengths 410 and weaknesses. This can direct further studies and inter-411 ventions;

- 412 (C) New assessment tools should include objective 413 markers of disease as well as subjective opinions of individ-414 ual health status and barriers to health:
- 415 (D) Objective and subjective data should be linked at 416 individual and disease-specific levels;
- 417 (E) Disease-specific data may be used to address common 418 barriers to health as perceived by a specific population and 419 tailor interventions to these specific populations;
- 420 (F) The effectiveness of interventions should be assessed 421 using the same health status markers used to develop the 422 intervention;
- 423 (G) Interventions should use available technology that 424 allows individuals to track measures of health and provide 425 assistance in making informed decisions about their health;
- 426 (H) Assessments and interventions should be developed 427 and implemented using community-based participatory 428 research models; and
- 429 (I) Assessments and interventions should be multi-430 disciplinary, collaborative efforts with existing organizations 431 and programs; and
- 432 (3) Area institutions of higher education, such as Concord
  433 University and the June Harless Center at Marshall Univer434 sity, are authorized to work with the county board on
  435 innovative strategies to address challenges facing the school
  436 system and community, including but not limited to, the
  437 areas of critical need and shortage in the teaching force,
  438 educator professional development and improving the college
  439 going rate. In addressing the areas of critical need shortage
  440 in the teaching force, consideration should be given to the
  441 implementation of an intensively supervised and mentored
  442 teacher-in-residence program for prospective teachers
  443 during their senior year in lieu of student teaching.

The Joint Committee on Enrolled Bills hereby certifies that the foregoing bill is correctly enrolled.

Chairman Senate Committee		
/Chairman House Com	nittee	2
Originated in the Senate.	823	2012 MAR 15
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## PRESENTED TO THE GOVERNOR

MAR 1 5 2012

Time 1:45 pm